

CUSTOMER ACCOUNT INFORMATION FORM



Securities

Member: Philippine Stock Exchange, Inc. and SIFP
17/F Tower One & Exchange Plaza Ayala Triangle,
Ayala Avenue, Makati City, Philippines 1226

Customer Type:	<input type="checkbox"/> Individual	Account Type:	<input type="checkbox"/> Cash	<input type="checkbox"/> Discretionary	For Online Account	
	<input type="checkbox"/> Joint		<input type="checkbox"/> Margin	<input type="checkbox"/> Directional		
	<input type="checkbox"/> "or"				User ID:	Platform: <i>(tick one)</i> <input type="checkbox"/> Web <input type="checkbox"/> Mobile App
	<input type="checkbox"/> "and"				1 st Choice _____	
					2 nd Choice _____ 3 rd Choice _____	

PERSONAL INFORMATION		PRIMARY ACCOUNTHOLDER			SECONDARY ACCOUNTHOLDER		
Last Name							
First Name							
Middle Name							
Date of Birth							
Place/Country of Birth							
Citizenship/Nationality							
SSS/GSIS/Passport/PhilSys No							
TIN							
Contact Number							
Email Address <small>(All notices including trade confirmation orders shall be sent to this email address of the primary account holder)</small>							
Permanent/ Residential Address <small>(All official communication letters Residential Address of the primary account holder)</small>	Address Line 1	Room No./Office Name, Bldg./House No., Street, Subd./ Brgy.			Room No./Office Name, Bldg./House No., Street, Subd./ Brgy.		
	Address Line 2	District, Town, City			District, Town, City		
	Address Line 3	Province	Country	Zip Code	Province	Country	Zip Code

BUSINESS INFORMATION							
Occupation							
Employment Status							
Name of Employer/Business							
Nature of Business							
Office/Business Contact No.							
Office/Business Address	Address Line 1	Room No./Office Name, Bldg./House No., Street, Subd./ Brgy.			Room No./Office Name, Bldg./House No., Street, Subd./ Brgy.		
	Address Line 2	District, Town, City			District, Town, City		
	Address Line 3	Province	Country	Zip Code	Province	Country	Zip Code

INVESTOR PROFILE					
Financial Information	Gross Annual Income	<input type="checkbox"/> Less than P500K	<input type="checkbox"/> P10M to P25M	<input type="checkbox"/> Less than P500K	<input type="checkbox"/> P10M to P25M
		<input type="checkbox"/> P500K to P1M	<input type="checkbox"/> P25M to P40M	<input type="checkbox"/> P500K to P1M	<input type="checkbox"/> P25M to P40M
		<input type="checkbox"/> P1M to P5M	<input type="checkbox"/> Over P40M	<input type="checkbox"/> P1M to P5M	<input type="checkbox"/> Over P40M
	<input type="checkbox"/> P5M to P10M			<input type="checkbox"/> P5M to P10M	
	Net Asset	<input type="checkbox"/> Less than P500K	<input type="checkbox"/> P10M to P25M	<input type="checkbox"/> Less than P500K	<input type="checkbox"/> P10M to P25M
		<input type="checkbox"/> P500K to P1M	<input type="checkbox"/> P25M to P40M	<input type="checkbox"/> P500K to P1M	<input type="checkbox"/> P25M to P40M
		<input type="checkbox"/> P1M to P5M	<input type="checkbox"/> Over P40M	<input type="checkbox"/> P1M to P5M	<input type="checkbox"/> Over P40M
		<input type="checkbox"/> P5M to P10M		<input type="checkbox"/> P5M to P10M	
	Net Worth/Capital	<input type="checkbox"/> Less than P500K	<input type="checkbox"/> P10M to P25M	<input type="checkbox"/> Less than P500K	<input type="checkbox"/> P10M to P25M
		<input type="checkbox"/> P500K to P1M	<input type="checkbox"/> P25M to P40M	<input type="checkbox"/> P500K to P1M	<input type="checkbox"/> P25M to P40M
		<input type="checkbox"/> P1M to P5M	<input type="checkbox"/> Over P40M	<input type="checkbox"/> P1M to P5M	<input type="checkbox"/> Over P40M
		<input type="checkbox"/> P5M to P10M		<input type="checkbox"/> P5M to P10M	

Investment Objective/Trading Strategy	<input type="checkbox"/> Speculation <input type="checkbox"/> Growth	<input type="checkbox"/> Preservation of Capital <input type="checkbox"/> Others (Pls. specify) _____	<input type="checkbox"/> Speculation <input type="checkbox"/> Growth	<input type="checkbox"/> Preservation of Capital <input type="checkbox"/> Others (Pls. specify) _____
No. of Years of Investment Experience	<input type="checkbox"/> Less than 1 <input type="checkbox"/> 1 to 5	<input type="checkbox"/> 5 to 10 <input type="checkbox"/> Over 10	<input type="checkbox"/> Less than 1 <input type="checkbox"/> 1 to 5	<input type="checkbox"/> 5 to 10 <input type="checkbox"/> Over 10

Preferred Mode of sending Client Communications (i.e. Confirmation Receipt, Statement of Accounts, Receipts, etc.)			
<input type="checkbox"/> via Email to the primary accountholder		<input type="checkbox"/> via regular mail to the Client address indicated above	
Do you want to duplicate the confirmation letter?	<input type="checkbox"/> Yes	Name: _____	Relationship: _____
	<input type="checkbox"/> No	Email Address: _____	

Shares Standard Settlement Instruction	
<input type="checkbox"/> MSPH to safe keep Investment Purchased in Client's behalf	<input type="checkbox"/> Deliver to Custodian
Custodian Name	Custodian Address

Cash Standard Settlement Instruction				
This serves as my/our standard settlement instruction and grants authority for Maybank Securities, Inc. to transfer, remit, pay, and turnover all proceeds, including but not limited to cash from my/our cash equity trading account's selling transactions and cash dividends received by Maybank Securities, Inc. on my/our behalf, to the bank account specified below. These instructions will remain in effect until revoked by me/us in writing.				
Bank Account Name	Bank Name	Bank Account Number	Bank Swift Code	Bank Address

Schedule of Fees and Other Charges	
Commission Rate: _____	I affix my signature herein to indicate my acceptance of the stipulated Rates and Charges.
Client Signature/ Initials: _____	
Transaction Fees Stock Transaction Tax: 6/10 of 1% of Transaction Value PSE Transaction Fee: 0.00005 of Transaction Value SCCP Fee: 0.0001 of Transaction Value	Transfer Related Fees PDTC EQ IN Trade Fee: PHP 75 per issue PDTC EQ OUT Trade Fee: PHP 200 per issue Lodgement Fee: Php 330 + 50 for the succeeding certificates Upliftment Fee: Php 370
Other Fees Handling Fee for cross border conversion: PHP 2,200 BSRD Fee: PHP 1,000 per request Request for Certification of Portfolio: PHP 200 Financial Confirmation of Portfolio: PHP 200	

DISCLOSURES				
Are you an officer or director of a PSE listed company?	<input type="checkbox"/> Yes	If yes, provide Company Name	<input type="checkbox"/> Yes	If yes, provide Company Name
	<input type="checkbox"/> No	If yes, provide Position	<input type="checkbox"/> No	If yes, provide Position
Are you a shareholder, director, officer, or employee of another broker/dealer?	<input type="checkbox"/> Yes	If yes, provide Company Name	<input type="checkbox"/> Yes	If yes, provide Company Name
	<input type="checkbox"/> No	If yes, provide Position	<input type="checkbox"/> No	If yes, provide Position
Do you have account with another broker?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Pls. list down the name of brokers	<input type="checkbox"/> Yes <input type="checkbox"/> No	Pls. list down the name of brokers
Are you aware of any relative/s maintaining account with us?	<input type="checkbox"/> Yes <input type="checkbox"/> No	Pls. list down the names including relationship	<input type="checkbox"/> Yes <input type="checkbox"/> No	Pls. list down the names including relationship
Are you a US Citizen/US Person/US Permanent Resident (Green Card)?	<input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, please provide US TIN	<input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, please provide US TIN

CLIENT UNDERTAKING, DECLARATION AND AUTHORIZATION
<p>I/We acknowledge having read and understood the terms and conditions (hereinafter referred to as "Agreement") available on the Maybank Securities, Inc. ("MSPH") website at https://maybank-atrke.com/terms_conditions.asp and a copy of which has been sent to my/our email address indicated above. I/We confirm that the Agreement accurately reflects our understanding and intent. Consequently, I/we hereby release MSPH from any liabilities, obligations, losses, damages, penalties, actions, judgments, costs, suits, expenses, or disbursements of any kind whatsoever that may arise in relation to this Agreement or the transactions it contemplates, or from enforcing its terms.</p> <p>I/We hereby represent and warrant on a continuing basis that:</p> <p>1. I/We have thoroughly reviewed and comprehended the Terms and Conditions governing the MSPH Products, Services, Facilities & Channels, including the Terms and Conditions of the Maybank Securities Online Equity Trading Facility (hereafter referred to as "Online Trade Facility"). I/We agree to be bound by these terms, acknowledging that</p>

Please sign here: _____

they may be amended or supplemented over time.

2. I/We authorize MSPH to debit and/or credit, or cause to be debited and/or credited, the necessary amounts to my/our Settlement Account to facilitate transactions related to the Online Trade Facility.

3. I/We will promptly notify MSPH in writing of any changes to the data, information, and documents that I/we have provided or submitted in connection with the Online Trade Facility.

4. I/We authorize MSPH, or any member of the Maybank Investment Banking Group or Maybank affiliates, to obtain, disclose, share, update, furnish, and/or process any personal or privileged information that may be required for managing and implementing transactions, for further verification, and updating of my/our account information. I/We expressly waive any rights under confidentiality laws applicable in the Philippines or other jurisdictions, including but not limited to RA 1405 (Law of Secrecy of Bank Deposits), RA 6426 (Foreign Currency Deposit Act), and RA 8791 (The General Banking Law) that may impede such actions.

5. By enrolling, registering, or availing of any services provided under the Online Trade Facility, I/We agree to comply with and be bound by the Terms and Conditions governing the MSPH Products, Services, Facilities & Channels, as well as those of the Online Trade Facility, as they may be amended, revised, updated, modified, or supplemented from time to time.

FATCA Declaration:

I/We hereby consent for Maybank Securities, Inc. ("MSPH") to report my information to regulatory authorities in accordance with the requirements of Foreign Account Tax Compliance Act as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.

I/We hereby consent that the MSPH may withhold from my account(s) such amount in accordance with the requirements of Foreign Account Tax Compliance Act as may be stipulated by applicable laws, regulations, agreement, or regulatory guidelines or directives.

I/We hereby consent that the MSPH may classify me as a recalcitrant account holder and/or suspend recall or terminate my account (s) and/or facilities granted to me, in the event I fail to provide accurate and complete information and/or documentation as MSPH may require.

I undertake to notify MSPH in writing within 30 calendar days if there is a change in any information which I have provided to the MSPH.

Data Privacy Consent

I/We read, understood and hereby agree and consent to the Data Privacy Consent and Privacy Notice published in Maybank Securities Website at https://www.maybank-atrke.com/privacy_security.asp.

In addition to the above and with regards to promotional and marketing materials: *(place a check mark on your choice; if no mark is indicated, it will be considered as "No")*

- Yes, I/we expressly agree to allow the Maybank Group and/or other entities to process my/our personal data to receive promotions, advertisements about Maybank products, and/or to use my/our personal data for promotional and marketing purposes.
- No, I/we do not consent to the processing of my/our personal data by the Maybank Group and/or other entities for the purpose of receiving promotional and marketing materials..

Authorization and Consent

I/We, the undersigned ("CLIENT" and/or "CO-CLIENT"), hereby authorize and empower Maybank Securities, Inc. and its representatives to establish and manage a Name-on Central Depository (NoCD) account on our behalf, which will be lodged with the Philippine Depository and Trust Corporation.

By signing this document, I/We each expressly consent to and authorize Maybank Securities, Inc. to:

- ✓ Collect, process, and utilize our Personal/Corporate Information as necessary for the creation and maintenance of the NoCD account.;
- ✓ Disclose our Personal/Corporate Information as required to process transactions and to facilitate the delivery of other services or products provided by the Corporation that we have availed ourselves of, as well as for other stated purposes; and

Please sign here: _____

- ✓ Retain and utilize our Personal/Corporate Information for the duration necessary to achieve the purposes for which it was collected, in order to comply with legal, regulatory, and internal requirements.

I/We hereby declare under penalty of perjury that all information provided herein is accurate and correct, based on my/our personal knowledge. We further authorize MSPH to verify this information through any sources it deems appropriate.

Applicable for Online Joint Account Only

Maybank Securities, Inc. has fully informed, and we, the undersigned Retail Joint Account Clients, understand and agree that:

- a. The Online Trading Facility allows for only one (1) set of log-on credentials, consisting of a username and password, for each Online Account/Joint Account.
- b. The Online Trading Facility is not equipped to support multiple log-on credentials for each Online Account/Joint Account.
- c. The log-on credentials provided will be designated for use by a single authorized Retail Joint Account Client (the "Designee").

In view of the foregoing:

1. We, each of the Retail Joint Account Clients for the Online Account/Joint Account specified below, hereby designate and authorize one among us as the Designee:

Joint Account Name: _____

Designee: _____.

2. The Designee stated above has the full authority to create and assign a username and password (collectively as "log-on credentials") which shall be used by said Designee to gain access to the Online Trading Facility and place Instructions for the Online Account/Joint Account.

We, the Retail Joint Account Clients, hereby affirm and agree that any instructions, orders, or transactions executed using these log-on credentials are ratified and fully authorized by all of us, collectively confirming our responsibility and consent to any and all actions taken by the Designee.

CLIENT Conforme and Specimen Signature

	PRIMARY ACCOUNTHOLDER	SECONDARY ACCOUNTHOLDER
Signature 1	_____ Signature over Printed Name	_____ Signature over Printed Name
Signature 2	_____	_____
Date Signed	_____	_____